

Applicant(s) : Julian HODGSON  
For : IMAGE COMPRESSION AND DECOMPRESSION

PCT International Application No.: PCT/GB00/01081

PCT International Filing Date: 22 March 2000

U.S. Application No.

(if known, see 37 CFR 1.5): 09/937 376

Atty. Docket No.: R&G Case 328

Box PCT

Assistant Commissioner for Patents

Washington, DC 20231

FIRST CLASS MAILING CERTIFICATE

Sir:

I hereby certify that this correspondence is being  
deposited with the United States Postal Service under 37 CFR  
1.8 as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, DC 20231, on  
February 21, 2002.

  
David S. Goldenberg

DSG\pcq

FLYNN, THIEL, BOUTELL	Dale H. Thiel	Reg. No. 24 323
& TANIS, P.C.	David G. Boutell	Reg. No. 25 072
2026 Rambling Road	Ronald J. Tanis	Reg. No. 22 724
Kalamazoo, MI 49008-1699	Terryence F. Chapman	Reg. No. 32 549
Phone: (616) 381-1156	Mark L. Maki	Reg. No. 36 589
Fax: (616) 381-5465	David S. Goldenberg	Reg. No. 31 257
	Sidney B. Williams, Jr.	Reg. No. 24 949
	Liane L. Churney	Reg. No. 40 694
	Brian R. Tumm	Reg. No. 36 328
	Tricia R. Cobb	Reg. No. 44 621

Correspondence:

Form PTO-1390

Petition for Time Extension

copy of Notification of Missing Requirements

Declaration

Information Disclosure Statement

Form PTO-1449 (2 pages)

copy of all references listed on page 2 of Form PTO-1449

copy of GB App. No. 9906603.7 Search Report from

The British Patent Office

390.9912

## U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

EXPRESS MAIL LABEL NO.: None

February 21, 2002

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER: R&amp;G Case 328

U.S. APPLICATION NO.

(If known, see 37 CFR 1.5): 09/937 376

INTERNATIONAL APPLICATION NO.: PCT/GB00/01081 INTERNATIONAL FILING DATE: 22 March 2000

PRIORITY DATE CLAIMED: March 22, 1999

TITLE OF INVENTION: IMAGE COMPRESSION AND DECOMPRESSION

APPLICANT(S) FOR DO/EO/US: Julian HODGSON

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Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11. to 16. below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - Petition for Extension of Time with \$400.00 fee for same
  - Form PTO-1449 (2 pages) with a copy of all references listed on Page 2
  - Copy of The British Patent Office Search Report dated July 6, 1999 for GB App. No. 9906603.7
  - First Class Mail Certificate
  - Postal Card

17. [X] The following fees are submitted:

**CALCULATIONS PTO USE ONLY**

**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):**

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO ... \$1040.00  
International preliminary examination fee (37 CFR 1.482) not  
paid to USPTO but International Search Report prepared by  
the EPO or JPO ..... \$ 890.00  
International preliminary examination fee (37 CFR 1.482) not  
paid to USPTO but international search fee (37 CFR 1.445(a)(2))  
paid to USPTO ..... \$ 740.00  
International preliminary examination fee paid to USPTO (37  
CFR 1.482) but all claims did not satisfy provisions of PCT  
Article 33(1)-(4) ..... \$ 710.00  
International preliminary examination fee paid to USPTO (37 CFR  
1.482) and all claims satisfied provisions of PCT Article 33(1)-(4).... \$ 100.00  
**ENTER APPROPRIATE BASIC FEE AMOUNT = \$**

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)). **\$ 130.00**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	- 20 =		X \$ 18.00	\$
Ind. claims	- 3 =		X \$ 84.00	\$
MULTIPLE DEPENDENT CLAIMS (if applicable)			+ \$280.00	\$
<b>TOTAL OF ABOVE CALCULATIONS</b>			<b>=</b>	<b>\$ 130.00</b>

Reduction of 1/2 for filing by small entity, if applicable. Small Entity Statement  
must also be filed (Note 37 CFR 1.9, 1.27, 1.28). - \$  
**SUBTOTAL = \$ 130.00**

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)). + \$  
**TOTAL NATIONAL FEE = \$ 130.00**

Fee for recording assignment (37 CFR 1.21(h)). The assignment must be accompanied  
by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$  
**TOTAL FEES ENCLOSED = \$ 130.00**  
03/06/2002 HNGUYEN 00000071 09937376 Amount to be refunded \$  
01 FC:154 130.00 OP charged \$

- a. [X] A check in the amount of \$530.00 to cover the above fees, including \$400 time extension, is enclosed.  
b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate  
copy of this sheet is enclosed.  
c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to  
Deposit Account No. 06-1382. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a)  
or (b)) must be filed and granted to restore the application to pending status.**

IN DUPLICATE

SEND ALL CORRESPONDENCE TO:  
2026 Rambling Road  
Kalamazoo, Michigan 49008-1699

300.0900

David S. Goldenberg  
Registration Number: 31 257